

DARTMOUTH

Council on Sponsored Activities ANNUAL REPORT FOR AY 2022-2023

Membership:

School, Department, Office Membership: Name:
represented:

Provost	Provost (or representative)	Dean Madden* (convener)
A&S	COF (Faculty delegate)	Caroline Robertson
A&S	COF (Faculty delegate)	Michael Cox
A&S	Dean of Faculty (or representative)	Jane Lipson
Geisel, Thayer, or Tuck	Faculty representative	Keith Paulsen
Geisel, Thayer, or Tuck	Faculty representative	Catherine Stanger
Finance & Administration	EVP/CFO (or representative)	Dianne Ingalls
Geisel	Geisel Dean (or representative)	Jen Friend
General Counsel	Designated OGC Attorney	Sandhya Iyer
Guarini	Guarini Dean (or representative)	Jon Kull
Research	Director, OSP	Jill Mortali
Thayer	Thayer Dean (or representative)	Ian Baker
Tuck	Tuck Dean (or representative)	Gordon Phillips

*Denotes Council Chair

Charge as described in the Organization of the General Faculty of Dartmouth College (OGFDC):

- (1) To propose and endorse internal policies regulating the activities sponsored by agencies outside the College.
- (2) To propose and endorse institutional positions in response to policies of external sponsoring agencies as they affect the College.
- (3) To review periodically the scope and impact of sponsored activities in relation to institutional purposes.
- (4) To propose and endorse institutional policies with regard to patents, copyrights, technology transfer, and the like.
- (5) To submit a report to the General Faculty at the end of each academic year.

Number of meetings during the past academic year: Two

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Activities for the past academic year, and how they address the charge of the council:

The CSA reviewed and endorsed operational and technical changes to the Research Misconduct Policies and Procedures, which were implemented effective January 1, 2023. (Charge #1)

The CSA discussed the continued government and bipartisan Congressional interest in matters related to foreign influence and research security. In response to the mandates of NSPM 33 and the requirements of the CHIPS+ Science Act of 2022, the CSA endorsed the expansion of the Research Integrity Office to a Research Integrity and Security Office. (Charge #2)

The CSA discussed conflicts of interest with regard to research, and in particular, the fact that our existing policies may not have fully captured potential COIs with regard to research that is funded intramurally. Building on that discussion, revisions to the COI policy were subsequently reviewed and approved and are currently on track for implementation. This will include gathering additional information from faculty, some of which include intellectual property agreements. (Charges #1 and #4)

The CSA also discussed broader issues surrounding authorship, credit, and plagiarism, and briefly touched on the rising importance of AI algorithms (e.g., ChatGPT) in this space. (Charge #1)

Key insights or recommendations:

- It is essential to manage COI across all forms of research activity and to capture potential COI that may be embedded in faculty consulting agreements.
- Our guidelines and policies regarding expectations of authorship and acknowledgment, including AI, require revision and updates, which may also require revisions to the Research Misconduct Policies and Procedures for consistency.

Recommended topics of the council to consider in the coming year:

- Overall trends for extramural research funding at Dartmouth (Charge #2)
- Value of developing a university-wide research strategy (Charge #2)
- Authorship and plagiarism guidelines/policies (Charge #1)
- Review of F&A costs (Charge #3)
- Charge for the expanded Research Integrity and Security Office to guide faculty through complex policy and regulatory issues related to global research activities.

Report Completion Date: June 7, 2023